PATENT APPLICATION
Docket No.: SJO920030069US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ronald E. Bretschneider et al.   )
)
Serial No.:   Not yet assigned   )
) Group Art
Filing Date: April 14, 2004   ) Unit:
)
For: APPARATUS, SYSTEM, AND METHOD FOR   )
               TRANSACTIONAL PEER RECOVERY IN A DATA   )
               SHARING CLUSTERING COMPUTER SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement

shall not be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).
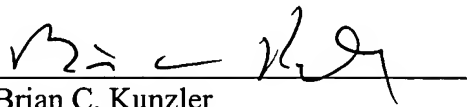
In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

1.   A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and

2.   A legible copy of each publication and other item of information in written form listed on the enclosed Form PTO-1449.

NON-ENGLISH INFORMATION

Pursuant to 37 C.F.R. § 1.98, following is a concise explanation of the relevance (as it is presently understood by the individual designated in 37 C.F.R. § 1.56(c) most knowledgeable about the content of the information), of each listed patent, publication or other information that is not in the English language.

Respectfully submitted,

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FORM PTO-1449	SERIAL NO. Not yet assigned	ATTORNEY DOCKET NO. SJO920030069US1
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE April 14, 2004	GROUP ART UNIT
	APPLICANT(S): Ronald E. Bretschneider et al.	

REFERENCE DESIGNATION   U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,155,678	10/13/1992	Fukumoto et al.	395/425	10/29/1985
	A2	5,261,089	11/09/1993	Coleman et al.	395/600	05/16/1990
	A3	5,418,940	05/23/1995	Mohan	395/575	08/04/1993
	A4	5,634,072	05/27/1997	Allen et al.	395/674	02/26/1996
	A5	5,699,500	12/16/1997	Dasgupta	395/180	06/01/1995
	A6	6,389,420	05/14/2002	Vahalia et al.	707/8	09/30/1999
	A7	2002/0065795	05/30/2002	Asherman	707/1	11/29/2000
	A8	6,493,826	12/10/2002	Schofield et al.	713/200	07/12/2000
	A9	6,526,416	02/25/2003	Long	707/202	06/30/1998
	A10	2003/0046258	03/06/2003	Candee et al.	707/1	08/28/2001

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO

NON-PATENT DOCUMENTS

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

EXAMINER INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages
	A11	HA Beier et. al., "IMS/VS Data Sharing Enhancements (Dynamic Reconfiguration to Initial State)" IBM TDB, p338-342, June 1984.
	A12	RS Keller et. al., "Self-Destruction Locks" IBM TDB, v36 n9B, p413-414, September 1993.

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).